

## CITED BY APPLICANT

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
11 January 2001 (11.01.2001)

PCT

(10) International Publication Number  
WO 01/02942 A2(51) International Patent Classification<sup>7</sup>: G06F 3/00

(21) International Application Number: PCT/US00/18594

(22) International Filing Date: 6 July 2000 (06.07.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/142,653 6 July 1999 (06.07.1999) US

(71) Applicant (for all designated States except US): INDEX SYSTEMS, INC. [—/—]; P.O. Box 71, Craigmuir Chambers, Road Town, Tortola, British Virgin Islands (VG).

(72) Inventor; and

(75) Inventor/Applicant (for US only): KHAN, Zafar [PK/US]; 360 Littleton Road, No. 14-G, Chelmsford, MA 01824 (US).

(74) Agent: MONROE, Wesley, W.; Christic, Parker &amp; Hale, LLP, P.O. Box 7068, Pasadena, CA 91109-7068 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

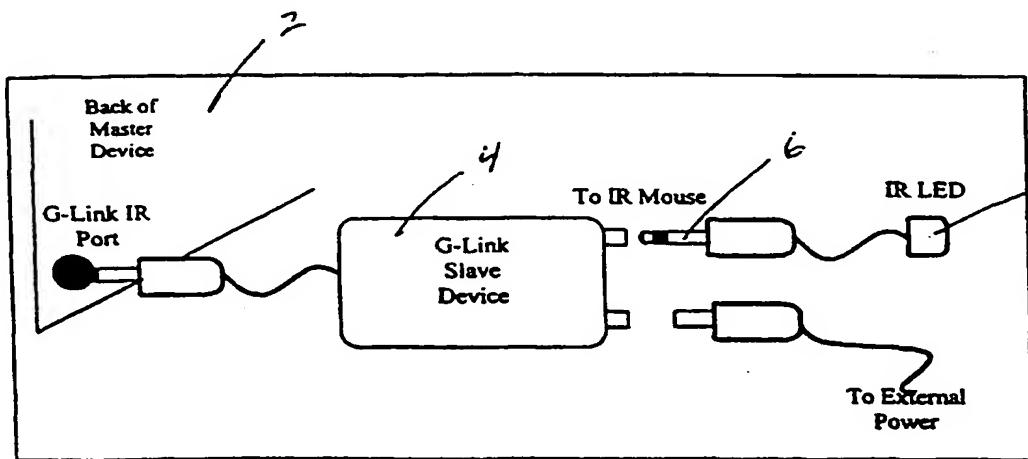
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

## Published:

— Without international search report and to be republished upon receipt of that report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: COMBINED SERIAL AND INFRARED PORT FOR CONSUMER ELECTRONIC DEVICES

REF. 2 DOCKET PL020113CORRESP. COUNTRY: PUT  
COUNTRY: PUT

WO 01/02942 A2

(57) Abstract: A consumer electronic device, such as a television, cable box, or VCR uses a combined serial and infrared output port. The combined port is connected to a slave peripheral device that includes a serial port and an infrared output port. The serial port of the slave peripheral device is connected to the combined serial and infrared port and the infrared output port is connected to an infrared remote control transmitter via an infrared driver signal passthrough circuit.

BEST AVAILABLE COPY

1 COMBINED SERIAL AND INFRARED PORT FOR CONSUMER ELECTRONIC  
DEVICES

5 CROSS-REFERENCE TO RELATED APPLICATION

This application claims priority of U.S. Provisional Application No. 60/142,653 filed July 6, 1999, which is incorporated by reference as if fully set forth herein.

10 FIELD OF THE INVENTION

The present invention relates generally to a link for interfacing host devices with slave devices, and more particularly to a link for interfacing host devices that store electronic program guides (EPGs) with slave devices that enhance EPG capabilities.

15 SUMMARY OF THE INVENTION

A consumer electronic device, such as a television, cable box, or VCR uses a combined serial and infrared output port. The combined port is connected to a slave peripheral device that includes a serial port and an infrared output port. The serial port of the slave peripheral device is connected to the combined serial and infrared port and the infrared output port is connected to an infrared remote control transmitter via an infrared driver signal passthrough circuit.

20 BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram showing a G-Link system configuration according to one embodiment of the invention.

25 FIG. 2 is a lateral view of the G-Link connector according to an embodiment of the invention.

FIG. 3 is a schematic of the G-Link/IR Out circuit located in a TV/STB according to an embodiment of the invention.

FIG. 4 is a schematic of the interface circuit of a G-link slave device according to an embodiment of the invention.

30 FIG. 5 is a flow diagram of the probe request/response process according to an embodiment of the invention.

FIG. 6 is a diagram showing different packet types of packets transmitted between a slave and a master according to an embodiment of the invention.

FIG. 7 is a flow diagram of showing the interaction of Init, Comm and Driver states according to an embodiment of the invention.

FIG. 8 is a flow diagram of a initial bootstrap stage according to an embodiment of the invention.

FIG. 9 is a flow diagram of a final bootstrap process according to an embodiment of the invention.

1 FIG. 10 is a flow diagram of a bootstrap timer process according to an embodiment of the invention.

DT04 Rec'd PCT/PTO 08 OCT 2004

5 FIG. 11 is a flow diagram of a patch load stage according to an embodiment of the invention.

## DETAILED DESCRIPTION

10 The Guide-link (G-Link) is a link that provides a serial interface between Host devices such as televisions (TVs) and set-top boxes (STBs), and slave devices. Hereinafter, TV/STB represents either a television and/or a set-top box (e.g. VCR). The G-Link allows the manufacturer to expand the capabilities of an EPG system by loading data and software from a slave device to a TV/STB. The G-Link is a cost-effective solution, as it shares the existing IR Mouse that is used to control televisions, cable boxes, and VCRs and uses very few gates in the hardware implementation.

15 The G-Link supports the following slave devices: a serial debugger device, a demonstration ROM device, a database expansion device, and a modem. These devices are not the only devices that can be supported by the G-Link. Other devices can also be supported by the G-Link as long as they follow the G-Link protocol.

20 The Serial Debugger Interface can assist in debugging production firmware, i.e., firmware in a TV/STB. A demonstration ROM device can download a graphical demonstration program that displays the features of an EPG and/or TV/STB. The demonstration ROM device can include customization for a retailer selling TV/STBs. A database expansion device expands the database information capacity of TV/STB beyond what is available in an EPG. A modem (or an RS-232 interface) facilitates features such as: consumer feedback, shopping, or a worldwide web link.

25 FIG. 1 shows an example of how a television, G-Link device, and IR Mouse might be connected. The G-Link topology is a master/slave scheme where the master device 2 (that is, an EPG TV/STB) interacts with one slave (that is, external) device 4. The slave connects to the master using the same connector 6 as an external IR Mouse. Every slave device must have a connector that allows an IR Mouse connection.

30 The G-Link slave device, when placed into an IR output mode, either passes through the LED drive current from the G-Link connector to the IR Mouse connector or sources current from its own power supply.

35 In the preferred embodiment of the invention, the G-Link connector 6 is a 3.5 mm (0.141 inch) mini-jack, as shown in FIG. 2. The tip 8 of the G-Link connector is data and the sleeve 10 of the G-link connector is ground.

FIG. 3 shows the G-Link/IR Out circuit generally located in the TV/STB. Electrically, the G-Link interface operates at CMOS logic levels. The output stage is an open collector, with a pull-up resistance of approximately 5K ohms. This port is commonly shared with the IR output port 12 of an EPG system. The G-Link input pin of the ASIC has CMOS logic thresholds.

1 Tables 1 through 3 show the DC characteristics for G-Link in the preferred embodiment of the invention. Table 1 shows the absolute maximum input voltage at the connector. Tables 2 and 3 assume Vdd = 5.00V. Table 2 shows the valid logic input levels. Table 3 shows the output voltage at the connector.

5

Logical Value	Condition	Min (V)	Max (V)
Any	CMOS	Vss-0.3	Vdd+0.3

Table 1

10

Logical Value	Condition	Min (V)	Max (V)
Zero	CMOS		1.5
One	CMOS	3.5	

Table 2

15

Logical Value	Condition	Min (V)	Max (V)
Zero	$I_{OL} = -10$ mA		0.4
One	$I_{OH} = 0.1$ mA	4.0	

Table 3

20

25 For electrostatic discharge (ESD) protection and noise filtering, the rise time of the G-Link signal should not exceed  $5 \mu\text{S}$ . Since the total pull-up resistance is about 5K ohms, in some configurations this allows for 220pF of filter capacitance 14 to ground at each end of the G-Link wire. The series resistance of the G-Link cable connection should remain below 50 ohms.

30

30 The 270ohm resistor 20, shown in FIG. 3, protects the ASIC from Electrostatic discharge (ESD). The 220pF capacitor also helps protect the ASIC. Typically, a spark gap or other device is also added to satisfy manufacturer ESD standards.

35

In the preferred embodiment, the ASIC input pins can withstand the following ESD tests: 200 V from a 200pF capacitor with no series resistance; and 1.0K V from a 100pF capacitor with a 1.5K series resistance.

The G-Link and the IR output device share the same port. The IR output circuit, which typically shares the same connector with the G-Link port, consists of a high-side driver

1 transistor. This transistor pulls the output up to Vcc through a current limiting resistor—the value of which depends on the particular IR output device. The IR and G-Link outputs can never be asserted at the same time.

5 G-Link devices may take a variety of forms. A G-Link slave device can be powered by a separate external power source. A low-power G-Link slave device can be without an external power source. FIG. 4 shows the minimum required interface components for a G-Link device in a preferred embodiment of the invention.

10 In the preferred embodiment, the G-link hardware transmits asynchronously at 38400 bps using RS-232-type start and stop bits. Characters are transmitted with 8 data bits, no parity, and 1 stop bit (8N1). In alternative embodiments, the G-link hardware transmits at higher bps rates and may encode characters in any encoding scheme known to one with ordinary skill in the art.

15 The G-link follows the International Standards Organization Open Systems Interconnection (ISO/OSI) model for networks. The Transport layer in the Open Systems Interconnection (OSI) model resides between the Network and Session layers. The Transport layer controls the sequence of packets, regulates packet flow, and recognizes duplicate packets.

20 An error in a packet is indicated by a negative acknowledgment (NACK). If an error occurs in a packet (NACK), the Session layer must initiate a retransmission request.

25 Table 4 and Table 5 defines the general formats for all Request and Response packets in a preferred embodiment of the invention. Table 4 defines the Request Packet Format in a preferred embodiment. Table 5 defines the Response Packet Format in a preferred embodiment. In alternative embodiments, the Start-of-Packet (SOP) byte, Request Command byte, Data Block Length Byte, Sequence Low/Sequence High, and Data Block within may occur in any order, except that the Data Block Length must be before the Data Block. EOP 30 is End-of-Packet.

Byte Number	Meaning
0	SOP byte - 0x07
1	Request Command Byte

1	2	Data Block Length Byte (number of payload bytes to follow, including EOP and Checksum; number of data bytes may be zero)
5	3-4	Sequence Low/Sequence High. These bytes are included only if D5 of the Packet Type is set. These bytes are <i>not</i> included in the data block length count.
	5-N	Data Block (this block may contain zero bytes)
	(last-1)	EOP byte - 0x8F
	(last)	Checksum (Zero indicates checksum not calculated. A zero checksum is mapped onto 0xFF.). Checksum (calculated by adding all bytes and checksum mod256=0) includes all bytes above, from SOP through EOP.

Table 4

Byte Number	Meaning
0	SOP byte - 0x07
1	Response Command Byte
2	Data Block Length Byte (number of payload bytes to follow, including EOP and Checksum; number of data bytes may be zero)
3-4	Sequence Low/Sequence High. These bytes are included only if D5 of the Packet Type is set. These bytes are <i>not</i> included in the data block length count.
5-N	Data Block (this block may contain zero bytes)
(last-1)	EOP byte - 0x8F
(last)	Checksum (Zero indicates checksum not calculated. A zero checksum is mapped onto 0xFF.). Checksum (calculated by adding all bytes and checksum mod256=0) includes all bytes above, from SOP through EOP.

Table 5

25 Every G-Link data transaction is a request/response packet sequence between the master and the slave. In most cases, the master initiates G-Link transactions (that is, the EPG device) that are acted upon by the slave (that is, the external device). A slave device must process, at a minimum, eight bytes of transmit and receive buffer data. There are three reasons for using this transaction method: (1) eases the implementation over a dual-simplex data link; (2) reduces the chance of data request collisions; and (3) eliminates potential problems when IR and G-Link share the same port—slave transactions respond with a Negative Acknowledgment (NACK) bit or interfere with IR operation.

30 35 A request/response packet sequence may be either a write request (where data is carried in the request) or read request (where data is contained in the corresponding response).

All valid request packets return an Acknowledge (ACK) response packet to the

1 requester. When the transport layer of the responding device detects an error condition (for example, no valid SOP/EOP, invalid checksum, time-out, invalid command), a NACK response packet is returned to the requester.

5 In the event of a NACK response, the transport layer will not initiate a retry. The Session layer that resides on top of the transport layer is responsible for initiating a retry packet request. Either the master or slave can execute the packet retry.

10 In the preferred embodiment of the invention, a data block can be a maximum of 255 bytes. When a transaction has more data than can fit into one 255-byte data block, that data must be divided into a sequence of packets. Packet sequence numbers start at zero and monotonically increase as necessary. Data transfer must occur in order if the data block sizes within a packet are different sizes.

15 Although the transport layer controls the order of the packets, the request and response packets must include a Sequence Present bit and the packet sequence numbers.

20 In alternative embodiments of the invention, a data block can be greater than 255 bytes. Alternative embodiments can have data blocks that have a maximum of  $2^n-1$  bytes, where  $n>8$ .

Table 6 lists the standard G-Link device commands supported by all G-Link devices.

Write Request	Command	Read Request	Command
Probe	0x80	Probe	0x40
Memory	0x47	Memory	0x48
Identify	0x41	Identify	0x01

25 Table 6

30 The G-Link probe process involves G-Link master device routinely sending a Probe Request packet that looks for the presence of a G-Link slave device. If a device is present, the slave sends a Probe Response packet.

35 FIG. 5 shows the probe request/response process. In the preferred embodiment of the invention, the Master sends a probe request packet every minute 30 until a probe response packet is sent by the slave. If there is no slave device present, then there will be no response 32. If a slave device is attached 34 and if it is operable, then it will send a Probe Response packet 38 in response to a Probe Request packet 36. The Probe Response packet includes

1 speed and buffer size information. After receiving the Probe Response packet, the Master  
will adjust the speed and buffer size if the slave speed and buffer size information is different  
from the speed and buffer size information that the Master has 40.

5 Once a Probe Request and Response packet exchange has occurred between the  
master and slave, the master no longer sends a Probe Request packet—unless the slave does  
not respond to any other request-packet type, in which case the master re-initiates the Probe  
Request sequence.

10 In the preferred embodiment, the G-Link master supports only one slave device  
connection at a time. In alternate embodiments, the G-Link master may support more than  
one slave device, wherein a priority scheme is implemented to determine which device shall  
have access to the G-Link.

15 Link speed and buffer size are determined through the exchange of the Probe Request  
and Probe Response packets. The G-Link master sends a Probe Request packet with a default  
speed of 38400 bps and a buffer size of 255 bytes. The slave responds with a Probe Response  
packet defining its maximum transmission speed and buffer size. Table 7 lists the Probe  
20 Request packet format and Table 8 lists the Probe Response packet format.

Byte Number	Meaning
0	0x80

Table 7

Byte Number	Meaning
0	SOP byte - 0x07
1	0x40
2	Data Block Length Byte - 0x04
30 3	Baud Rate D7-D2: Reserved [D1:D0] 00 4800 01 9600 10 19200 11 38400

4	Maximum Receive Buffer Size - 0x8 to 0xFF
5	EOP byte - 0x8F
6	Checksum

Table 8

The Master Request Format packet 50 is a generic request packet where the master asks the slave "do you have anything to send?" The advantage of this packet type is it allows the slave to respond without waiting for the master to send a specific request packet type (for example, the slave would only send an Identify Response packet after it received an Identify Request packet). FIG. 6 shows the different types of packets a slave could send in response to the Master Request Format packet. A slave device will still respond to a specific request packet type (that is, the slave sends an Identify Response packet after the master sends an Identify Request packet).

The slave can respond to a Master Request Format Packet with an Identify Response packet 52, a memory read followed by a Request packet 54, or a Retry packet followed by a Request packet 56.

The Identify Request and Identify Response packets are used to identify the slave device type. The Identification Response packet, as shown in Table 10, contains the following data: a device type/subtype and a null-terminated string describing the device. The device type/subtype data may be used by operational firmware to discern what slave device is installed on G-Link. The null-terminated string may be used by Factory Test/Diagnostics screens. Table 9 lists the Identification Request Packet format and Table 10 lists the Identification Response Packet format.

Byte Number	Meaning
0	SOP byte - 0x07
1	0x41
2	Data Block Length Byte (0x02= no data block present)
3	EOP byte - 0x8F
4	Checksum

Table 9

Byte Number	Meaning
0	SOP byte - 0x07

1	0x01
2	Data Block Length - 0x02
3	EOP byte - 0x8F
4	Checksum

Table 10

Byte Number	Meaning
0	SOP byte - 0x07
1	Packet Type/Flag Byte D7: b'1' - Request Packet D6: b'0' - Read Request D5: b'1' - Sequence field present D4-D0: 0b00010: Load Driver Command
2	Data Block Length Byte
3	EOP byte - 0x8F
4	Checksum

Table 11

Byte Number	Meaning
0	SOP byte - 0x07
1	0x48
2	Data Block Length Byte - 0x02
3-5	Source Address (Preferred: Big Endian)
6	NUMBYTESTOREAD
7	EOP byte - 0x8F
8	Checksum

Table 12

#### Memory Write Packet Format

The Memory Write Request packet is a request-only packet initiated by the slave.

This packet tells the master where and how much data the slave wants to store in the master. The master does not send a response packet. Table 13 lists the Memory Write Request Packet format.

Byte Number	Meaning
0	SOP byte - 0x07
1	0x47
2	Data Block Length Byte - 0x02
3-5	Source Address (Big Endian)
6	NUMBYTESTOWRITE
7-N	Write Data Block
(last-1)	EOP byte - 0x8F
(last)	Checksum

Table 13

10 The G-Link has state conditions for packets. Different packet types operate in various states. A packet type may be used in one or more of the following three states: Initial (Init), Communication (Comm), and Driver. In the Initial state probe packets are passed. In the Communication state, data, get next, and retry packets are passed. In the Driver state, driver  
 15 packets are passed.

20 The type of packet sent in a state depends on which Master and Slave events are used. Master events are originated from the Slave. Slave events are originated from the Master. Master and Slave events and their corresponding designations are listed in Table 14.

25

30

35

Master Event Designation	Event Name	Slave Event Designation	Event Name
A	Other	i	Other
B	Timeout Probe	ii	Initial Probe
C	Probe Response	iii	Get Next
D	Request Read	iv	Timeout
E	Request Write	v	Factory Test Request
F	Load Driver	vi	Retry
G	Execute	vii	Miss Byte
H	IR Received		
I	Factory Test Response		
J	Miss Byte		
K	Reset Protocol		
L	Timeout Data		

Table 14

Not all master events are allowed in every state. Table 15 indicates (using a •) which Master events are allowed in each state.

	A	B	C	D	E	F	G	H	I	J	K	L
<b>Init State</b>	•	•										
<b>Comm State</b>	•		•	•	•	•	•	•	•	•	•	•
<b>Driver State</b>	•	•	•	•	•						•	•

Table 15

FIG. 7 shows an example of how packets and events interact in the three states. In the Initial state probe packets are passed. In the Communication state, data, get next, and retry packets are passed. In the Driver state, driver packets are passed.

In the initial state 60, the slave device ignores Master events A, and D through L as shown in Table 15 61. The Master does an initial probe of the slave 62. If the slave responds to the initial probe, then the probe packet was successful and the Communication State is entered 63. If the probe packet is not successful, then the Master sends a timeout probe to the slave and the slave has a timeout 64. Then, the Master sends a retry packet to the slave and the slave does a retry 65. The slave attempts to respond to the retry packet, whereupon the

1 initial state 60 is entered and the Master does another initial probe of the slave 62.

5 In the Communication State, the slave formats the next packet to give to the Master 66. In the Communication State, the slave ignores Master events A (Other) and C (Probe Response) 67.

10 There are four paths that the Communication State can take. In one path, the Master requests the next packet from the slave 68. Then, the slave does a request read and the master responds with the data from the specified address.

15 In a second path, the Master requests the next packet from the slave 70. The slave writes the packet 71. There is just one "next packet request" instead of both a "next packet request" and a "master request for a write." A checksum is checked to see if it is valid 72. If the checksum is not valid, then there is a retry of a packet write from the slave to the Master and the slave attempts a retry 73. Another possibility is that one or more bytes are missed by the slave and the master times out waiting for the expected number of bytes to be received. In this case, the slave also times out.

20 When there is a retry of a packet 74, a retry packet counter is checked 75. If the retry packet counter is greater than zero, then the master sends a retry packet to the slave 76. If the retry packet counter is not greater than zero, then the Initial state is returned to 77. The "Initial State" is a cold reset of the Master. At this point, the Master assumes that the slave has hung up in the middle of uploading a patch, and the Master attempts to purge its memory with this Cold Reset.

25 In a third path, the Master requests the next packet from the slave 78. The Driver State is entered 79 and Master events A, F, G, H, I, and J are ignored 80. The Master begins to load the driver from the slave, packet by packet. A test is conducted for whether there are more packets 81. Just in case the same packet is sent twice correctly by the slave, the master counts it as only one packet received. Packet zero provides the total size 82. If there are more packets to be sent, then the slave continues to send packets to the Master. If there are no more packets to be sent, then the slave sends an execute packet and the Master executes the sent packets 83 whereupon the Initial state is returned to 84.

35 In a fourth path, the Master requests the next packet from the slave 85. The slave sends an execute packet and the Master executes the packet 83 whereupon the Initial state is returned to 84.

1        From either the Communication State or the Driver State, the slave can Reset Protocol in which case the Master resets the protocol 86 or the slave can timeout, in which case the Master has a Factory Test Response 87.

5        A patch download from a slave device to a TV/STB comprises three stages. The three stages in the bootstrap process are (1) Initial Bootstrap; (2) Final Bootstrap; and (3) Patch Load. Each stage provides items that are used in the next stage. The preceding stage must be successfully completed before the next one can begin.

10       Stage 1 is the Initial Bootstrap stage. The Initial Bootstrap stage provides the essential tools used in the Stage 2, Final Bootstrap stage. FIG. 8 shows the initial bootstrap stage. After the successful completion of the initial bootstrap stage, the following items are available for use in the next stage: Faster probe packets (every .5 seconds), Watchdog timer, and End of stage packet handler.

15       At the beginning of the initial bootstrap stage, the Slave device is in its initial state 110. The TV/STB, which is a G-link master device, routinely sends to the slave device, a probe request packet 111 that looks for the presence of a G-link slave device. In a preferred embodiment of the invention, if the TV/STB determines within 5.6 seconds 112 that the slave device is present, then the slave device sends an identify response packet 113. The TV/STB requests the next packet from the slave device 114. In a preferred embodiment of the invention, if the slave device responds within 5.6 seconds to the next packet request 115, then the slave device does a memory write to its memory 116. The TV/STB sends another probe request packet to the slave device 117. The slave device does a memory write to the TV/STB that causes the TV/STB to "execute" the instructions within the memory write 118. The TV/STB executes the instructions contained within the memory write from the slave device 119.

30       Stage 2 is the Final Bootstrap stage. FIG. 9 shows the Final Bootstrap process. The final bootstrap stage provides the patch information that is loaded in the third stage. After the successful completion of the Final Bootstrap stage, at least the following items are available:

35       TV screen display that shows real-time download percent complete, watchdog timer (replaces watchdog timer from stage 1);

Get Next packet (suppresses Probe packets from stage 1);

End of stage packet handler (replaces End of stage packet from stage 1);

1           Retry packet; and  
size of a final patch.

5           At the beginning of the final bootstrap stage, the TV/STB sends a probe request  
packet to the slave device 120. In a preferred embodiment of the invention, the TV/STB  
determines within .5 seconds whether the slave device has responded to the TV/STB 121. If  
the slave device does respond within .5 seconds, then the slave device does a memory write  
122 until the end of the final bootstrap stage 123. At the end of the final bootstrap stage, the  
10           instruction within the memory-writes are executed by the TV/STB 124.

15           FIG. 10 shows the 20-second timer flowchart, which is applicable in a preferred  
embodiment of the invention. During the final bootstrap stage, if a 20-second timer expires  
130, then the bootstrap process returns to the initial bootstrap stage 131, otherwise the  
bootstrap process is proceeding properly 132.

20           Stage 3 is the Patch Load stage. FIG. 11 shows the patch load stage. The patch load  
stage arranges the information from the first two stages into usable patch code. At the  
beginning of the patch load stage, the TV/STB gets a new packet from the slave device 140.  
The slave device does a memory write from its memory to the TV/STB 141. The TV/STB  
determines whether the packet has been successfully received by the TV/STB within a timer  
period 142. In the preferred embodiment of the invention, the timer period is three seconds.  
If the timer period expires 143, then the packet was not successfully transferred from the  
25           slave device to the TV/STB. If the packet is determined to be successfully received, then the  
timer period is reset 144, the displayed percentage completed on the television screen is  
incremented 145, and the TV/STB gets the next packet from the slave device 140. When the  
patch load end of stage is reached 146, the TV/STB goes into a patch load warm reset state.  
When the timer period expires 143, then the TV/STB determines whether a retry counter is  
30           greater than zero 150. The retry counter represents the number of times the TV/STB will  
retry a packet request because of the timer period expiration. If the retry counter is greater  
than zero, then the TV/STB will request another packet from the slave device 151. If the  
retry counter is not greater than zero, then the retry counter has been exhausted and the  
35           bootstrap process returns to stage 1, the Initial Bootstrap stage 152.

## 1      WHAT IS CLAIMED IS:

5      1.      A consumer electronic device interface for use with a consumer electronic device having a combined serial port and infrared output port, the interface comprising:

10     an infrared remote control transmitter; and

15     a slave peripheral device comprising

20     a serial port connected to the combined serial port and infrared output port;

25     and

30     an infrared driver signal passthrough circuit connected to the serial port and the infrared remote control transmitter.

35     2.      The system of claim 1 wherein the slave peripheral device comprises a serial debugger.

40     3.      The system of claim 1 wherein the slave peripheral device comprises a demonstration ROM device.

45     4.      The system of claim 1 wherein the slave peripheral device comprises a database expansion device.

50     5.      The system of claim 1 wherein the slave peripheral device comprises a modem.

55     6.      A consumer electronic device comprising a combined infrared output and serial port wherein the serial port is capable of communicating with a slave peripheral device.

60     7.      The consumer electronic device of claim 2 wherein software patches are downloaded into the consumer electronic device from the slave peripheral device.

65     8.      The consumer electronic device of claim 2 wherein the consumer electronic

1 device is a television.

5 9. The consumer electronic device of claim 2 wherein the consumer electronic  
device is a video recorder.

10 10. The consumer electronic device of claim 2 wherein the consumer electronic  
device is a television set top box.

15 11. The consumer electronic device of claim 2 wherein the consumer electronic  
device is a hard disk video recorder.

20 15 12. The consumer electronic device of claim 2 wherein the consumer electronic  
device is a satellite receiver.

25 13. The consumer electronic device of claim 2 wherein the consumer electronic  
device is a cable box.

30 25 14. The consumer electronic device of claim 2 wherein the one or more of  
download instructions and download data comprises a demonstration interactive electronic  
program guide.

35

FIG. 1

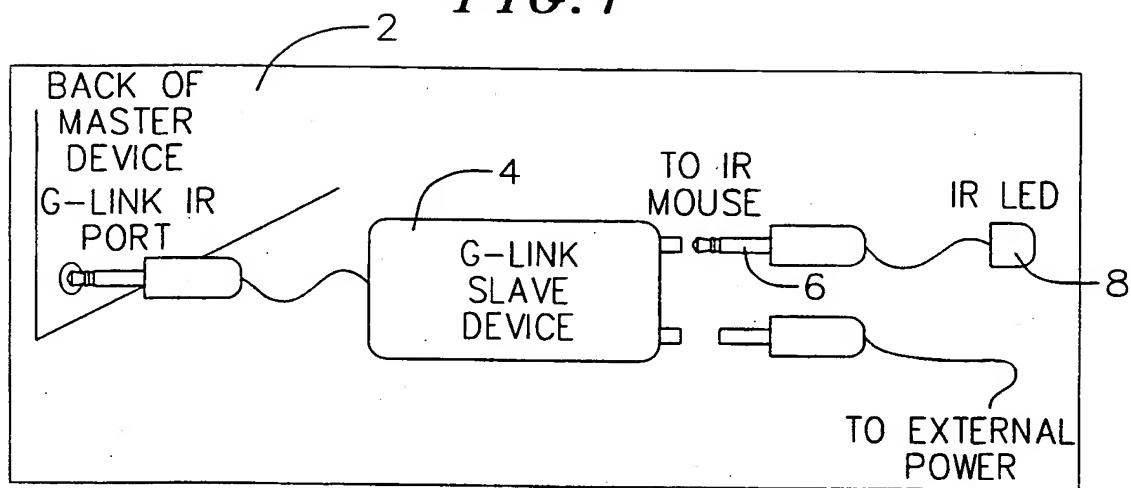


FIG. 2

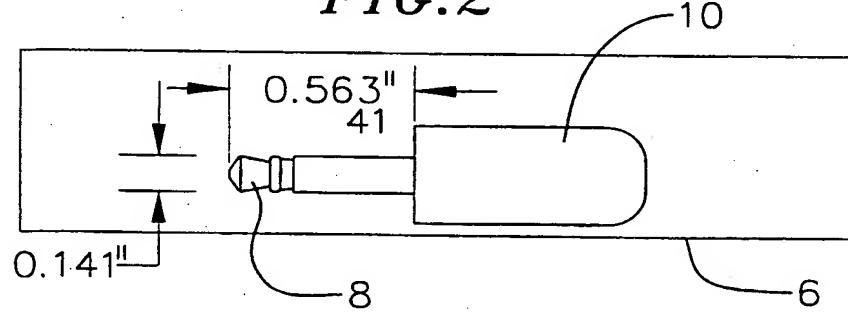


FIG. 3

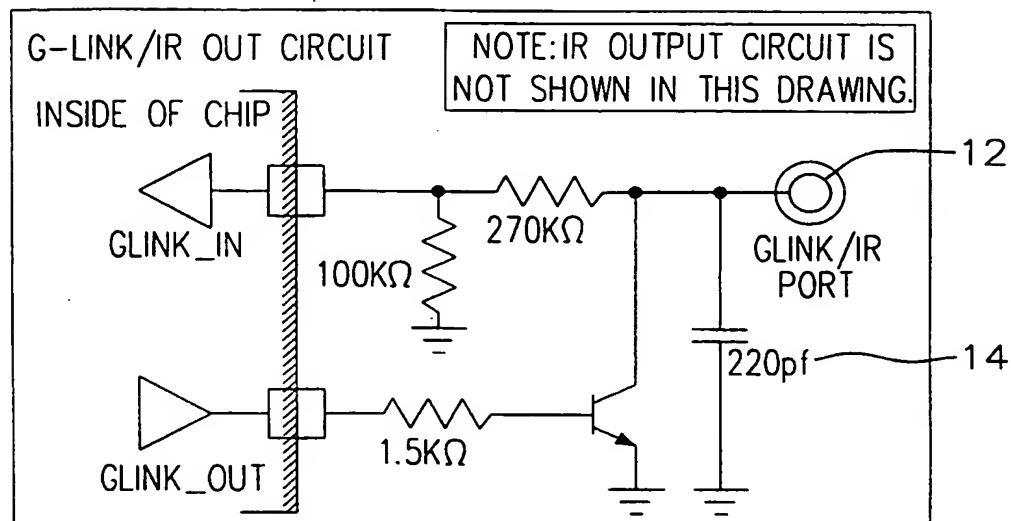


FIG. 4

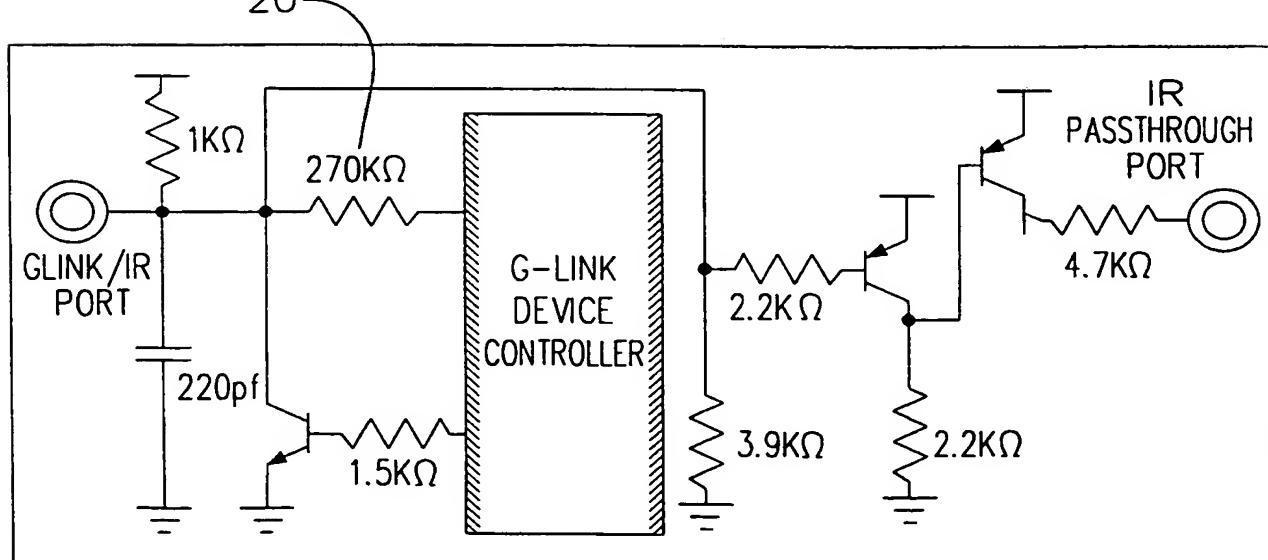


FIG.5

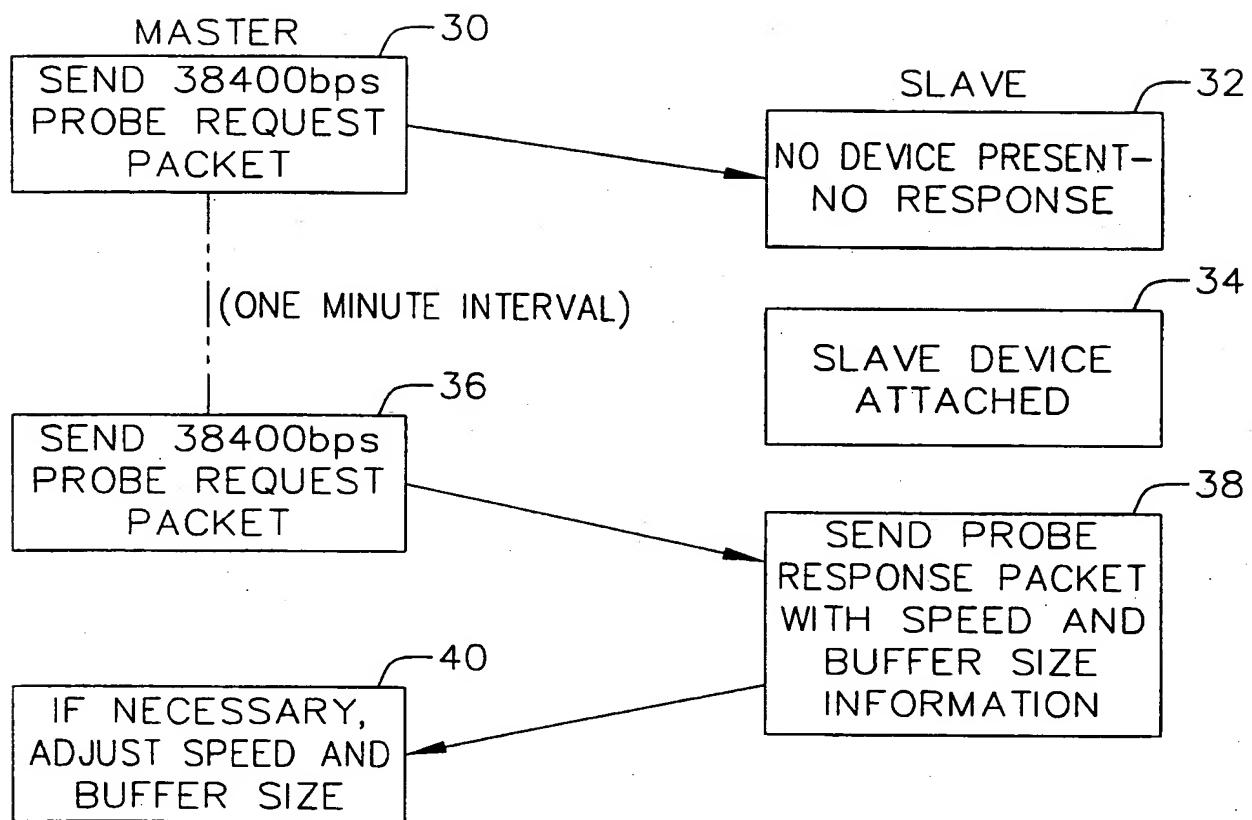


FIG. 6

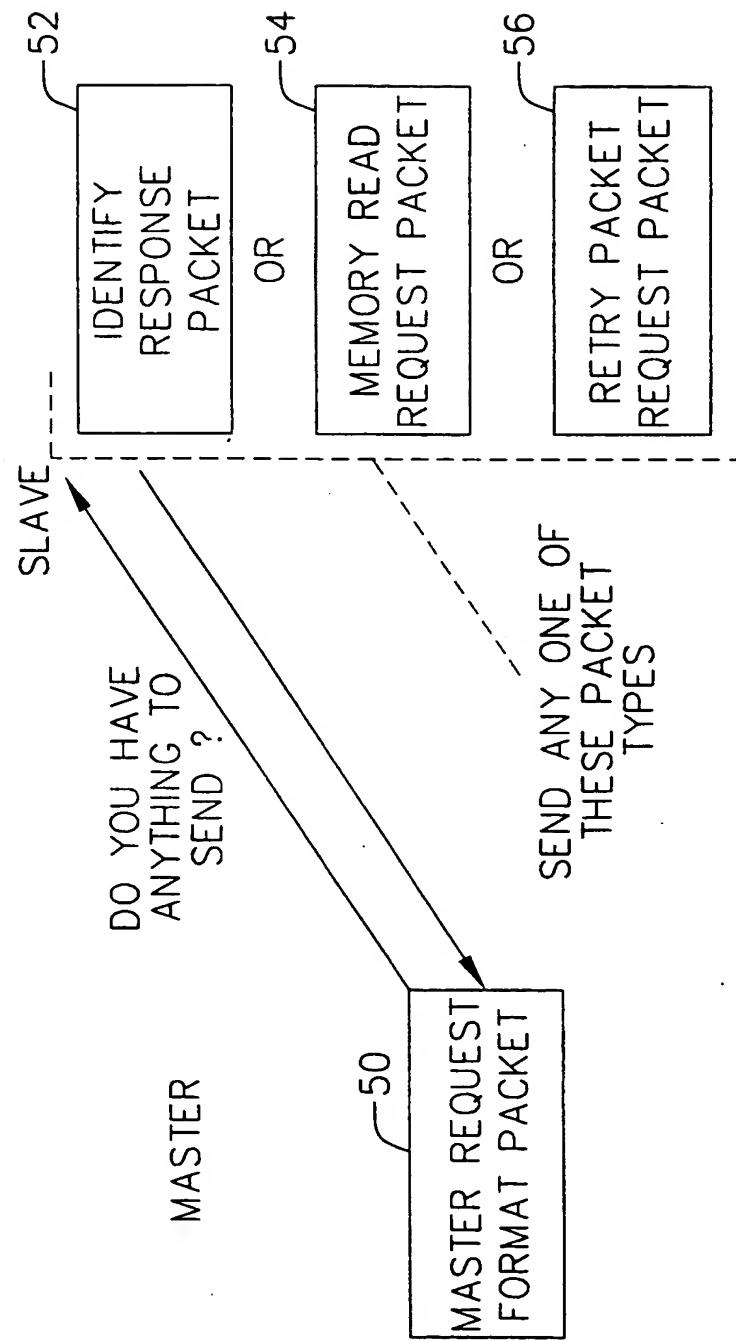


FIG. 7

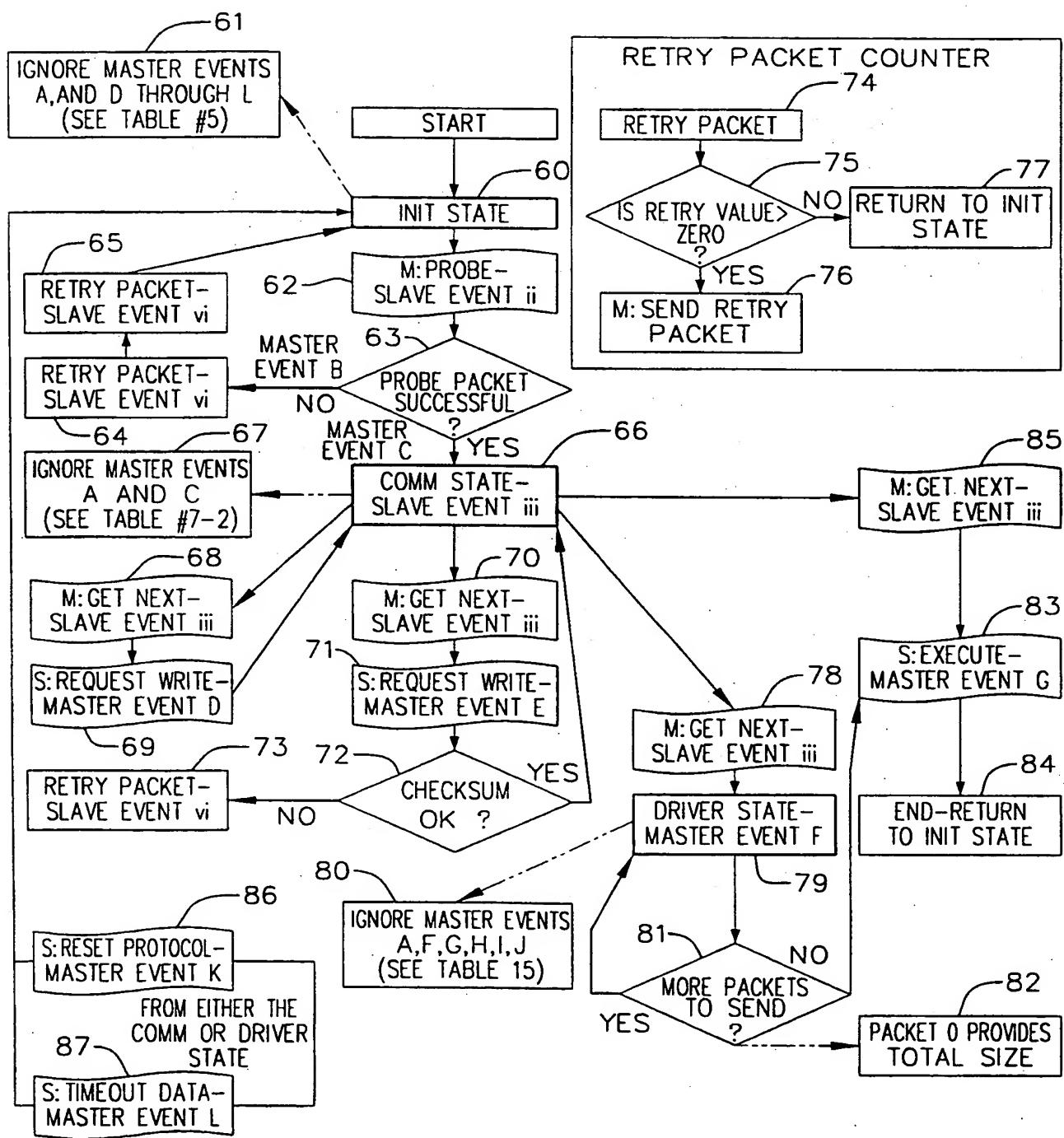


FIG. 8

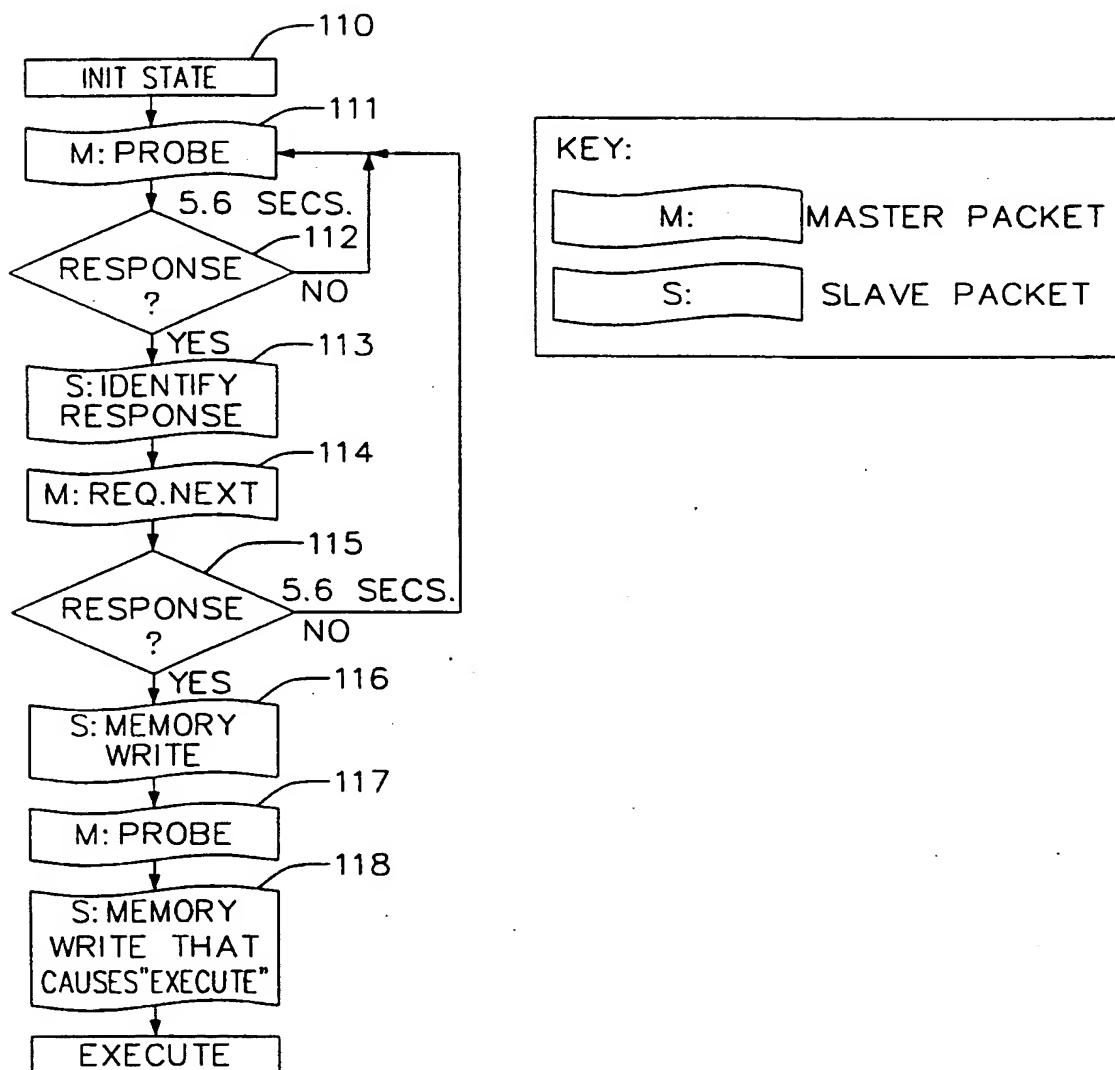


FIG. 9

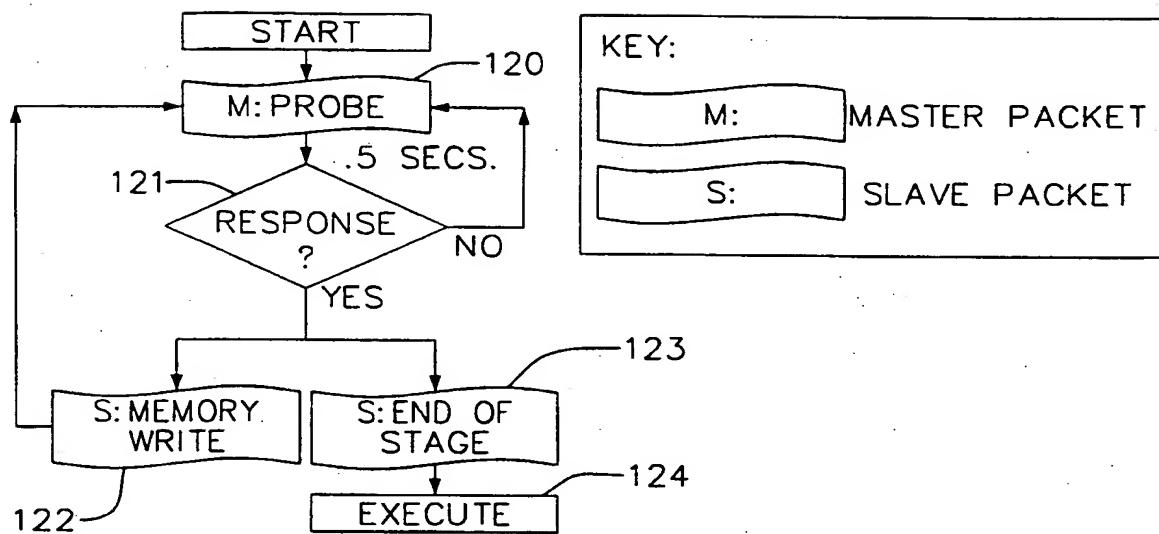
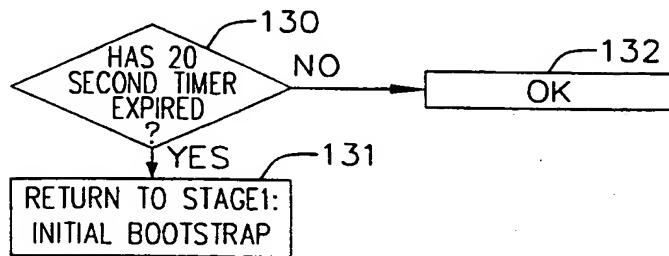
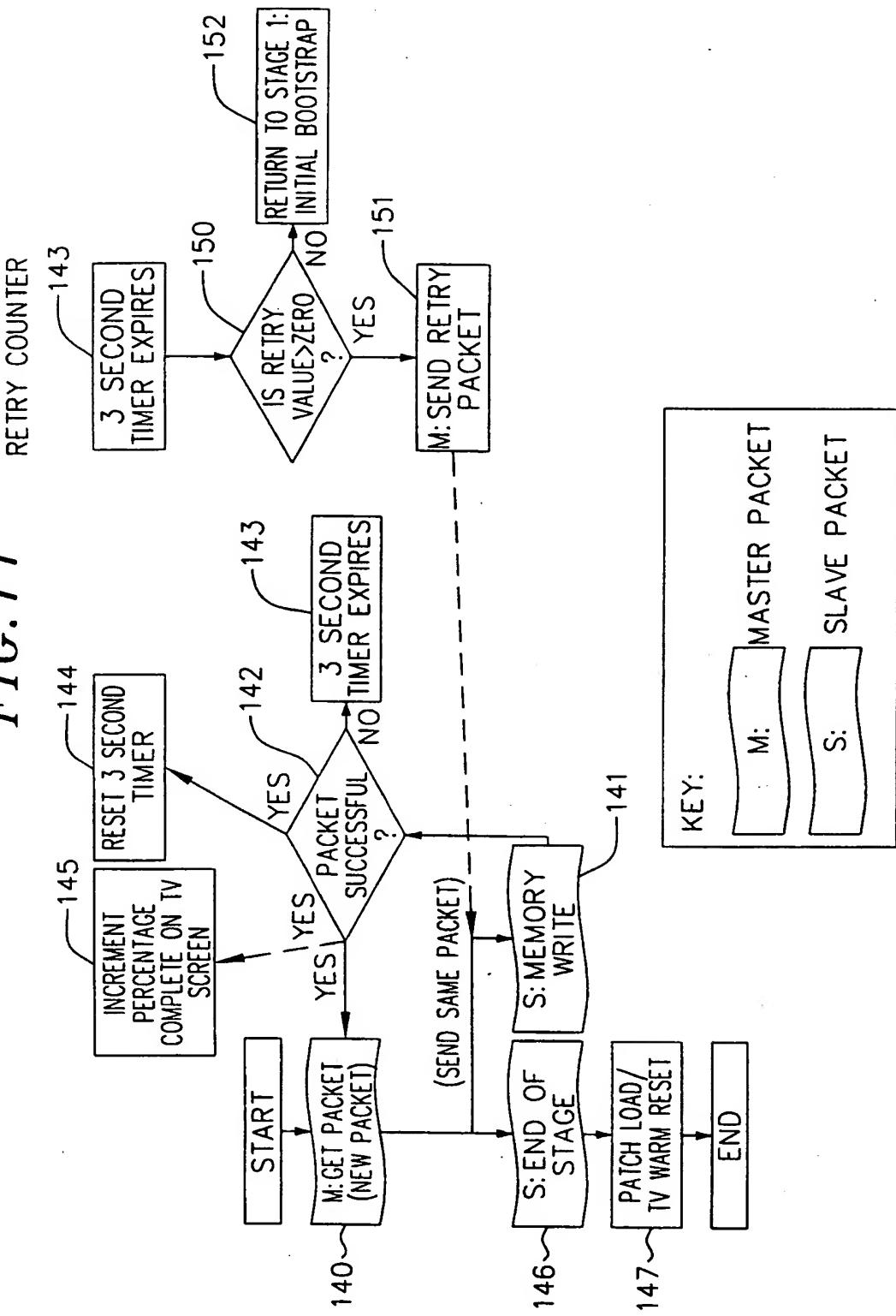


FIG. 10



10/510894

FIG. 11



DT04 Rec'd PCT/PW 08 OCT 2004

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
11 January 2001 (11.01.2001)

PCT

(10) International Publication Number  
WO 01/02942 A3

(51) International Patent Classification<sup>7</sup>: H04N 7/16, 5/44

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(21) International Application Number: PCT/US00/18594

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(22) International Filing Date: 6 July 2000 (06.07.2000)

Published:

— With international search report.

(25) Filing Language: English

(88) Date of publication of the international search report:  
22 March 2001

(26) Publication Language: English

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(30) Priority Data: 60/142,653 6 July 1999 (06.07.1999) US

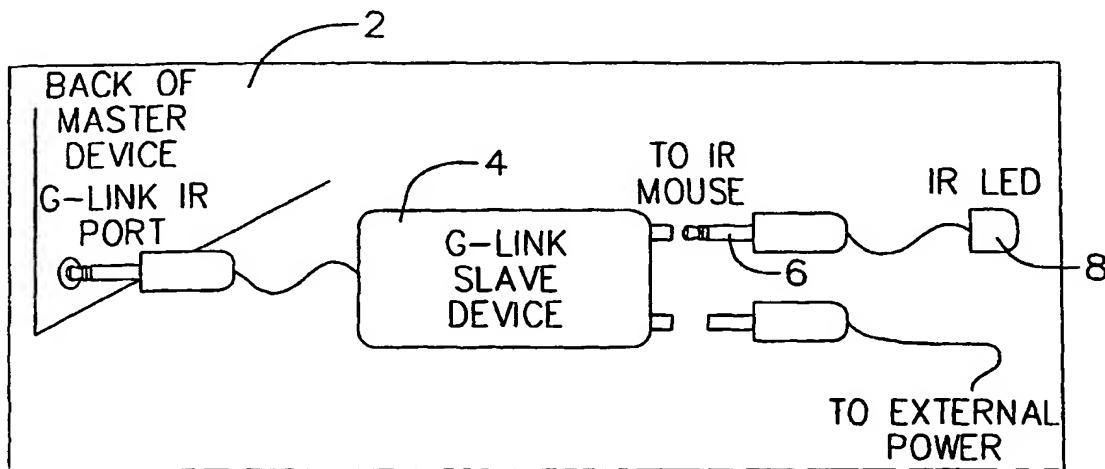
(71) Applicant (for all designated States except US): INDEX SYSTEMS, INC. [—/—]; P.O. Box 71, Craigmuir Chambers, Road Town, Tortola, British Virgin Islands (VG).

(72) Inventor; and

(75) Inventor/Applicant (for US only): KHAN, Zafar [PK/US]; 360 Littleton Road, No. 14-G, Chelmsford, MA 01824 (US).

(74) Agent: MONROE, Wesley, W.; Christie, Parker & Hale, LLP, P.O. Box 7068, Pasadena, CA 91109-7068 (US).

(54) Title: CONSUMER ELECTRONIC DEVICES WITH UPGRADE CAPABILITY



WO 01/02942 A3

(57) Abstract: A consumer electronic device, such as a television, cable box, or VCR uses a combined serial and infrared output port. The combined port is connected to a slave peripheral device that includes a serial port and an infrared output port. The serial port of the slave peripheral device is connected to the combined serial and infrared port and the infrared output port is connected to an infrared remote control transmitter via an infrared driver signal passthrough circuit.

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/18594

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 H04N7/16 H04N5/44

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 41472 A (KLOSTERMAN BRIAN L ;MILNES KENNETH A (US); STARSIGHT TELECAST INC) 19 December 1996 (1996-12-19) page 4, line 7 -page 6, line 36 page 11, line 2 -page 13, line 37 ---	1-4,6-14
X	EP 0 898 388 A (NOKIA MOBILE PHONES LTD) 24 February 1999 (1999-02-24)	6
A	column 3, line 58 -column 4, line 57 ---	1
A	EP 0 876 034 A (IBM) 4 November 1998 (1998-11-04) column 6, line 39 -column 8, line 18 ---	1-14
A	US 5 914 746 A (MATTHEWS III JOSEPH H ET AL) 22 June 1999 (1999-06-22) column 2, line 61 -column 3, line 67 ---	1,6,8,14

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

19 December 2000

Date of mailing of the international search report

27/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Pham, P

## INTERNATIONAL SEARCH REPORT

## Information on patent family members

Internat'l Application No

PCT/US 00/18594

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 9641472	A 19-12-1996	AU 714491 B		06-01-2000
		AU 5990596 A		30-12-1996
		BR 9608610 A		25-04-2000
		CA 2223424 A		19-12-1996
		EP 0830785 A		25-03-1998
EP 0898388	A 24-02-1999	FI 973415 A		21-02-1999
		JP 11167444 A		22-06-1999
EP 0876034	A 04-11-1998	US 5961602 A		05-10-1999
		JP 2986440 B		06-12-1999
		JP 10240660 A		11-09-1998
US 5914746	A 22-06-1999	NONE		

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)